

# LEGACY

**Stock Transfer, Inc.**

Legacy Stock Transfer, Inc. is now part of Depository Trust Corporation (DTC) Fast Automated Securities Transfer system (FAST), which enables us to electronically transfer shares via Cede & Co. (DTC's nominee name) to participating broker/dealers, rather than process paper certificates. We will also be able DWAC certificates for the issuer, brokers and shareholders.

Before we can apply to have your company FAST/DWAC eligible, the following must be met:

1. Company must be trading
2. Company must be current on its billings
3. Shares must be held in DTC's nominee name of Cede & Co.

A DWAC/FAST company must remain current on their billings. If a company fails to remain current on their billings for three consecutive months, Legacy Stock Transfer reserves the right to remove the issue from the DWAC/FAST system.

I have attached a copy of our application form. There will be a \$150.00 nonrefundable application fee added to your next monthly invoice. Please complete this form and return it to the undersigned at your earliest convenience. We will apply with DTC and, upon their decision, notify you of your FAST/DWAC status.



# DWAC Application

Please complete the following. This information will only be used for the FAST/DWAC application process.

If you are unsure of your CUSIP #, please leave blank.

Company Name	
Street Address	
City, State, Postal Code	
Country	
Contact Person	
Telephone Number	
Email address	
Trading Symbol	
Market	
CUSIP #	

# DWAC Fee Schedule

The following fees are only for those companies on Fast/DWAC.

Monthly Maintenance Fee	\$100.00 per month
DWAC Deposit * **	\$100.00 per certificate + issuance fee
DWAC Withdrawal * **	\$100.00 per certificate

\*Per 25 million share certificate

\*\*DWAC deposit & withdrawal fees must be paid in advance. The issuance fees will be billed to the issuer at the current rate.

Fees such as shareholder lists, labels and audits will remain the same. All non DWAC company issues (physical certificate issues) will be charged at the current rate.

### **Initial Deposit to DTC**

DTC creates the FAST balance by submitting the physical certificates they hold in the name of Cede & Co. We have discounted this rate to \$25.00 to DTC, but they have insisted that they will not pay over \$500.00 to set up a new FAST balance. If approved for the FAST/DWAC system, we will contact you beforehand and let you know the balance that is owed (this will be at the same discounted rate of \$25.00 per certificate).

After the initial deposit, you will not be charged for any DTC transactions unless an arrangement is made between your firm and DTC.